

ON PERTURBATION OF FRAMES IN LOCALLY CONVEX SPACES

LALIT K. VASHISHT⁽¹⁾, SAAKSHI GARG⁽²⁾ AND GEETIKA KHATTAR⁽³⁾

ABSTRACT. We present some Paley-Wiener type perturbation results for frames in a real (or complex) complete locally convex separable topological vector space.

1. INTRODUCTION AND PRELIMINARIES

Let \mathcal{H} be a real (or complex) Hilbert space with inner product $\langle \cdot, \cdot \rangle$. A countable sequence $\{f_k\}_{k \in I} \subset \mathcal{H}$ is called a *frame* for \mathcal{H} if there exist numbers $0 < A \leq B < \infty$ such that

$$A\|f\|^2 \leq \sum_{k \in I} |\langle f, f_k \rangle|^2 \leq B\|f\|^2 \text{ for all } f \in \mathcal{H}.$$

The operator $S : \mathcal{H} \rightarrow \mathcal{H}$ given by

$$Sf = \sum_{k \in I} \langle f, f_k \rangle f_k$$

is called the *frame operator* of the frame $\{f_k\}_{k \in I}$. The frame operator S is a positive and invertible operator on \mathcal{H} . This gives the *reconstruction formula* for all $f \in \mathcal{H}$:

$$(1.1) \quad f = SS^{-1}f = \sum_{k \in I} \langle S^{-1}f, f_k \rangle f_k = \sum_{k \in I} \langle f, S^{-1}f_k \rangle f_k.$$

1991 *Mathematics Subject Classification.* 42C15, 42C30, 41A45.

Key words and phrases. Frames, perturbation, locally convex topological vector space.

The first author is supported by R & D Doctoral Research Programme, University of Delhi, Delhi-110007, India (Grant No.: RC/2015/9677).

Copyright © Deanship of Research and Graduate Studies, Yarmouk University, Irbid, Jordan.

Received: March 17, 2016

Accepted: Oct. 5, 2016 .

The scalars $\{\langle f, f_k \rangle\}$ are called *frame coefficients* of the vector $f \in \mathcal{H}$. Thus, a frame for a Hilbert space \mathcal{H} allows each element in the space \mathcal{H} to be written as a linear combination of the elements in the frame (not necessarily unique as in case of bases). Duffin and Schaeffer [11] in 1952, introduced frames for Hilbert spaces in the context of non-harmonic Fourier series. Daubechies, Grossmann and Meyer revived frames in [10].

Recently many mathematicians and engineers generalized the frame theory in various directions, see [2, 3, 6, 7, 8] and references therein. The theory of topological algebras itself has undergone considerable development since the appearance of Gelfand's paper [14] on normed algebras. Frames which give series representation of each vector (which is similar to the reconstruction formula in equation (1.1)) in topological algebras were introduced in [24] and further studied in [13].

The perturbation theory is a very important tool in various areas of both pure and applied mathematics. The basic of Paley and Wiener was that, a system that is sufficiently close to an orthonormal system (basis) in a Hilbert space also forms an orthonormal system (basis). Since then, a number of variations and generalizations of perturbations to the atomic decompositions, Hilbert frames, and Banach frames have been studied, see [4, 5, 9, 12, 15, 18]. In this paper, we generalize some Paley-Wiener type perturbation results given in [9, 12, 15, 16, 18, 19] to frames in a sequentially complete locally convex topological vector space over the real or complex field \mathbb{K} .

In the rest part of this section, we recall some basic notations, definitions and results about frames in topological vector spaces. The pair (\mathcal{A}, τ) is said to be a *topological vector space* if \mathcal{A} is a vector space over the complex or the real field \mathbb{K} with a compatible topology τ . A topological vector space with a base of convex neighbourhoods of the origin is called a *locally convex topological vector space*.

Definition 1.1. [24] A countable sequence $\mathcal{F} \equiv \{x_n\} \subset \mathcal{A}$ is a τ -frame for (\mathcal{A}, τ) if there exists a sequence $\{f_n\} \subset \mathcal{A}'$, such that for each $x \in \mathcal{A}$

$$x = \tau\text{-}\lim_{n \rightarrow \infty} \sum_{i=1}^n f_i(x)x_i = \tau\text{-}\sum_{i=1}^{\infty} f_i(x)x_i,$$

where the sequence $\{\sum_{i=1}^n f_i(x)x_i\}$ converges in the topology τ of \mathcal{A} .

For fundamental properties regarding convergence of sequences in topological vector spaces, we refer [20, 22, 25].

Remark 1. The sequence $\{f_n\} \subset \mathcal{A}'$ is called an *associated sequence of functionals*, which need not be unique. The associated functionals f_n ($n \in \mathbb{N}$) need not be continuous.

Definition 1.2. [24] A τ -frame $\mathcal{F} \equiv \{x_n\}$ for (\mathcal{A}, τ) is a τ -Schauder frame for \mathcal{A} if all associated functionals f_n ($n \in \mathbb{N}$) are τ -continuous.

Remark 2. Bonet et al. [1] studied shrinking and boundedly complete Schauder frames in Fréchet spaces. Frames in Fréchet spaces further studied in [21].

The following example provides existence of a τ -frame in \mathcal{A} which is not a Schauder frame for \mathcal{A} . This motivates the study of frames and related properties in locally convex spaces.

Example 1.1. Let $\mathcal{A} = \left\{ \{\xi_j\} \subset \mathbb{C} : \xi_j = 0 \text{ except for finitely many } j \right\}$ and let τ be the topology induced by the metric $d(x, y) = \sup_{1 \leq j < \infty} |\xi_j - \eta_j|$, $x = \{\xi_i\}, y = \{\eta_i\} \in \mathcal{A}$. Then, (\mathcal{A}, τ) is a locally convex separable topological vector space. Define $\{x_n\} \subset \mathcal{A}$ by

$$x_1 = \chi_1, \quad x_2 = \chi_2 \quad \text{and} \quad x_n = \chi_2 + \frac{\chi_n}{n}, \quad n \geq 3,$$

where $\{\chi_n\} \subset \mathcal{A}$ is sequence of canonical unit vectors , i.e., $\chi_n = \delta_{n,m}$ ($n, m \in \mathbb{N}$).

Choose $\{f_n\} \subset \mathcal{A}'$ as follows.

$$f_1(x) = \xi_1, f_2(x) = \xi_2 - \sum_{j=3}^{\infty} j\xi_j, f_n(x) = n\xi_n, n \geq 3 \ (x = \{\xi_j\} \in \mathcal{A}).$$

Then, $x = \tau\text{-}\lim_{n \rightarrow \infty} \sum_{i=1}^n f_i(x)x_i$ for all $x \in \mathcal{A}$. Hence $\mathcal{F} \equiv \{x_n\}$ is a τ -frame for \mathcal{A} . One may observe that \mathcal{F} is not a Schauder frame for \mathcal{A} .

Lemma 1.1. [23, p. 108] *Let X and Y be topological vector spaces, where Y is Hausdorff. Let $f, g : X \rightarrow Y$ be continuous maps which agree on a dense subset of X . Then $f \equiv g$.*

The following key-lemma gives an isomorphism on a sequentially complete locally convex topological vector space and can be found in [17].

Lemma 1.2. [17, p. 328] *Let (\mathcal{A}, τ) be a sequentially complete locally convex topological vector space and $S : \mathcal{A} \rightarrow \mathcal{A}$ a linear operator. Let one of the conditions be satisfied, namely,*

- (1) *for each $p \in D_\tau$, there exists $\lambda_p, 0 < \lambda_p < 1$ such that $p(Sx) \leq \lambda_p p(x)$ for all $x \in \mathcal{A}$,*

or

- (2) *there exists $p_0 \in D_\tau$ and $\delta, 0 < \delta < 1$ such that $p_0(Sx) \leq \delta p_0(x)$ for all $x \in \mathcal{A}$ and for each $p \in D_\tau$ there exists $k_p > 0$ with $p(Sx) \leq k_p p_0(x)$ for all $x \in \mathcal{A}$.*

Then, $R = I - S$ is a topological isomorphism from (\mathcal{A}, τ) onto itself, where I is the identity map of \mathcal{A} .

Throughout this paper, (\mathcal{A}, τ) denotes a sequentially complete locally convex separable topological vector space, where the topology τ of \mathcal{A} is considered to be Hausdorff. All sequence considered in the paper are countable and indexed by the set of natural numbers \mathbb{N} . D_τ denotes the family of all semi-norms generating the topology

τ of \mathcal{A} . By \mathcal{A}^* and \mathcal{A}' , we denote the topological dual and the algebraic dual of \mathcal{A} , respectively. For a set $Z \subset \mathcal{A}$, $[Z]^\tau$ shall denote the τ -closure of the span of Z in \mathcal{A} .

2. THE MAIN RESULTS

We start with one of the Paley-Wiener type perturbation result for a τ -frame for \mathcal{A} .

Theorem 2.1. *Let $\{x_n\}$ be a finitely linearly independent τ -frame for (\mathcal{A}, τ) and let $\{y_n\} \subset \mathcal{A}$. For $p \in D_\tau$ and $0 < \lambda_p < 1$, if*

$$(2.1) \quad p\left(\sum_{i=1}^m \alpha_i(x_i - y_i)\right) \leq \lambda_p p\left(\sum_{i=1}^m \alpha_i x_i\right),$$

for all finite sequences $\{\alpha_1, \alpha_2, \dots, \alpha_m\}$ of scalars, then $\{y_n\}$ is a τ -frame for (\mathcal{A}, τ) .

Proof. By (2.1), we have

$$(2.2) \quad (1 - \lambda_p)p\left(\sum_{i=1}^m \alpha_i x_i\right) \leq p\left(\sum_{i=1}^m \alpha_i y_i\right) \leq (1 + \lambda_p)p\left(\sum_{i=1}^m \alpha_i x_i\right).$$

Define a linear operator $R_0 : \text{span}\{x_n\} \rightarrow [y_n]$ by

$$R_0\left(\sum_{i=1}^m \beta_i x_i\right) = \sum_{i=1}^m \beta_i y_i.$$

Then, by using (2.2), we have

$$\begin{aligned} p(R_0(x)) &= p\left(\sum_{i=1}^m \alpha_i y_i\right) \\ &\leq (1 + \lambda_p)p\left(\sum_{i=1}^m \alpha_i x_i\right) \\ &= (1 + \lambda_p)p(x), \quad x = \sum_{i=1}^m \alpha_i x_i \in \text{span}\{x_j\}. \end{aligned}$$

This gives $p(R_0(x)) \leq (1 + \lambda_p)p(x)$ for all $x \in \text{span}\{x_j\}$. Therefore, R_0 is continuous, and by the Hahn-Banach extension theorem (see [22]), R_0 can be continuously

extended as a linear map $R : [x_n]^\tau = \mathcal{A} \rightarrow [y_n]^\tau \subset \mathcal{A}$ with $Rx_n = y_n$, $n \geq 1$. Since $\{x_n\}$ is a τ -frame for (\mathcal{A}, τ) , there exists a sequence $\{f_n\} \subset \mathcal{A}'$ such that

$$x = \tau\text{-}\lim_{n \rightarrow \infty} \sum_{i=1}^n f_i(x)x_i \text{ for each } x \in \mathcal{A}.$$

Let I be the identity map on \mathcal{A} . Then, by using (2.1), we compute

$$\begin{aligned} p((I - R)x) &= p(x - Rx) \\ &= p\left(\tau\text{-}\lim_{n \rightarrow \infty} \sum_{i=1}^n f_i(x)x_i - \tau\text{-}\lim_{n \rightarrow \infty} \sum_{i=1}^n f_i(x)Rx_i\right) \\ &= p\left(\tau\text{-}\lim_{n \rightarrow \infty} \sum_{i=1}^n f_i(x)(x_i - y_i)\right) \\ &\leq \lambda_p p(x) \text{ for all } x \in \mathcal{A}. \end{aligned}$$

Therefore, by Lemma 1.2, R is a topological isomorphism from (\mathcal{A}, τ) onto itself.

Thus for all $x \in \mathcal{A}$, we have

$$Rx = \tau\text{-}\lim_{n \rightarrow \infty} \sum_{i=1}^n f_i(x)Rx_i = \tau\text{-}\lim_{n \rightarrow \infty} \sum_{i=1}^n f_i(x)y_i.$$

Hence $\{y_n\}$ is a τ -frame for $T(\mathcal{A}) = \mathcal{A}$. □

Example 2.1. Let $\mathcal{A} = \left\{ \{\xi_j\} \subset \mathbb{C} : \sum_{i=1}^{\infty} |\xi_i| < \infty \right\}$ and τ be the topology induced by the metric $d(x, y) = \sum_{j=1}^{\infty} |\xi_j - \eta_j|$, $x = \{\xi_i\}, y = \{\eta_i\} \in \mathcal{A}$. Then (\mathcal{A}, τ) is a separable complete locally convex space. Define a finitely linearly independent sequence $\{x_n\} \subset \mathcal{A}$ by

$$x_1 = \chi_1 \text{ and } x_n = (-1)^{n+1} \chi_1 + \chi_n, \quad n \geq 2,$$

where χ_n denote the canonical unit vector. Let $\{f_n\} \subset \mathcal{A}'$ be sequence given by

$$f_1(x) = \xi_1 + \xi_2 - \chi_3 + \xi_4 - \xi_5 + \dots \quad \text{and} \quad f_n(x) = \xi_n, \quad x = \{\xi_j\} \in \mathcal{A} \quad (n \geq 2).$$

Then, $x = \tau\text{-}\lim_{n \rightarrow \infty} \sum_{i=1}^n f_i(x)x_i$ for each $x \in \mathcal{A}$. Hence $\mathcal{F} \equiv \{x_n\}$ is τ -frame for \mathcal{A} . Let $\{y_n\} \subset \mathcal{A}$ be a sequence given by

$$y_1 = \chi_1, \quad y_n = \chi_n - \chi_{n+1}, \quad n \geq 2.$$

It can be easily computed that for all finite sequences $\{\alpha_1, \alpha_2, \dots, \alpha_m\}$ of scalars and for $0 < \lambda < 1$, we get

$$p\left(\sum_{i=1}^m \alpha_i(x_i - y_i)\right) \leq \lambda p\left(\sum_{i=1}^m \alpha_i x_i\right),$$

where $p \in D_\tau$. Hence by Theorem 2.1, $\{y_n\}$ is a τ -frame for \mathcal{A} .

The following theorem gives a perturbation result, where the perturbed sequence is the image of a given frame sequence under a continuous linear operator.

Theorem 2.2. Let $\{x_n\} \subset \mathcal{A}$ be a τ -frame for (\mathcal{A}, τ) with associated sequence of functionals $\{f_n\} \subset \mathcal{A}'$. Suppose $\{y_n\} \subset \mathcal{A}$ is a sequence such that

$$p\left(\tau\text{-}\lim_{n \rightarrow \infty} \sum_{i=1}^n f_i(x)(x_i - y_i)\right) \leq Mp(x) \text{ for all } x \in \mathcal{A},$$

where M is an element of the scalar field \mathbb{K} and p is a member of the family of all semi-norms generating the topology τ . If there exists a continuous linear operator T on \mathcal{A} such that $Tx_n = y_n$ for all $n \in \mathbb{N}$, then $\{y_n\}$ is also a τ -frame for \mathcal{A} .

Proof. Since $\{x_n\} \subset \mathcal{A}$ is a τ -frame for (\mathcal{A}, τ) with $\{f_n\} \subset \mathcal{A}'$ as an associated sequence of functionals, we have

$$x = \tau\text{-}\lim_{n \rightarrow \infty} \sum_{i=1}^n f_i(x)x_i \text{ for all } x \in \mathcal{A}.$$

Define an operator $L : \mathcal{A} \rightarrow \mathcal{A}$ by

$$Lx = \tau\text{-}\lim_{n \rightarrow \infty} \sum_{i=1}^n f_i(x)y_i, \text{ for all } x \in \mathcal{A}.$$

Then, L is well defined. Indeed, for $n > m$, we compute

$$\begin{aligned} p\left(\sum_{i=1}^n f_i(x)y_i - \sum_{j=1}^m f_j(x)y_j\right) &= p\left(\sum_{i=1}^n f_i(x)Tx_i - \sum_{j=1}^m f_j(x)Tx_j\right) \\ &= p\left(T\left(\sum_{i=1}^n f_i(x)x_i - \sum_{j=1}^m f_j(x)x_j\right)\right) \\ &\longrightarrow 0 \text{ as } n, m \rightarrow \infty. \end{aligned}$$

Therefore, $\tau\text{-}\lim_{n \rightarrow \infty} \sum_{i=1}^n f_i(x)y_i$ is a Cauchy sequence in \mathcal{A} and hence convergent for all $x \in \mathcal{A}$.

Let I be the identity map on \mathcal{A} . Then, for a fixed (but arbitrary) $x \in \mathcal{A}$, we have

$$\begin{aligned} p((I - L)x) &= p(x - Lx) \\ &= p\left(\tau\text{-}\lim_{n \rightarrow \infty} \sum_{i=1}^n f_i(x)x_i - \tau\text{-}\lim_{n \rightarrow \infty} \sum_{i=1}^n f_i(x)y_i\right) \\ &= p\left(\tau\text{-}\lim_{n \rightarrow \infty} \sum_{i=1}^n f_i(x)(x_i - y_i)\right) \\ &\leq Mp(x). \end{aligned}$$

Therefore, by Lemma 1.2, L is a topological isomorphism from \mathcal{A} onto itself. Define a sequence of functionals $\{g_n\} \subset \mathcal{A}'$ by $g_i = f_i L^{-1}$ for all $i \in \mathbb{N}$. Then, for all $x \in \mathcal{A}$, we have

$$\begin{aligned} \tau\text{-}\lim_{n \rightarrow \infty} \sum_{i=1}^n g_i(x)y_i &= \tau\text{-}\lim_{n \rightarrow \infty} \sum_{i=1}^n (f_i L^{-1})(x)y_i \\ &= \tau\text{-}\lim_{n \rightarrow \infty} \sum_{i=1}^n f_i(L^{-1}x)y_i \\ &= L(L^{-1}x) \\ &= x. \end{aligned}$$

Hence $\{y_n\}$ is a τ -frame for \mathcal{A} . □

Example 2.2. Let $\mathcal{A} = \left\{ \{\xi_j\} \subset \mathbb{C} : \tau\text{-}\lim \xi_j = 0 \right\}$, where τ is the topology given in Example 1.1. Then, (\mathcal{A}, τ) is a separable complete locally convex space. Define a sequence $\{x_n\} \subset \mathcal{A}$ by

$$x_1 = \chi_1 \text{ and } x_n = \chi_{n-1}, \quad n \geq 2,$$

Define a sequence $\{f_n\} \subset \mathcal{A}^*$ by

$$f_l(x) = \xi_l, \quad l = 1, 2 \text{ and } f_n(x) = \xi_{n-1}, \quad x = \{\xi_j\} \in \mathcal{A} \quad (n \geq 3).$$

Then, $x = \tau\text{-}\lim_{n \rightarrow \infty} \sum_{i=1}^n f_i(x)x_i$ for each $x \in \mathcal{A}$. Hence $\mathcal{F} \equiv \{x_n\}$ is τ -frame for \mathcal{A} . Let $\{y_n\} \subset \mathcal{A}$ be a sequence given by

$$y_1 = x_1, \quad y_2 = x_2, \quad y_n = (-1)^{n+1}x_1 + x_n, \quad n \geq 3.$$

It can be easily computed that

$$p\left(\tau\text{-}\lim_{n \rightarrow \infty} \sum_{i=1}^n f_i(x)(x_i - y_i)\right) \leq Mp(x) \text{ for all } x \in \mathcal{A},$$

where M is an element of the scalar field \mathbb{K} . Thus, by Theorem 2.2, the sequence $\{y_n\}$ is a τ -frame for \mathcal{A} .

Next result shows that under small perturbation, the perturbed sequence form a τ -frame for \mathcal{A} with coefficient functionals of the given τ -frame.

Theorem 2.3. Let $\{x_n\} \subset \mathcal{A}$ be a τ -frame for (\mathcal{A}, τ) and $\{f_n\} \subset \mathcal{A}'$ be an associated sequence of functionals. Let $p_\alpha \in D_\tau$ be arbitrary. Choose $\delta > 0$ such that

$$p_\alpha\left(\sum_{k=1}^N f_k(x)x_k\right) < \delta p_\alpha(x) \text{ for all } N \geq 1 \text{ and for all } x \in \mathcal{A}.$$

Let $\epsilon \in (0, 1)$ and let $\{y_n\} \subset \mathcal{A}$ be a sequence such that for all $n < m$,

$$p_\alpha\left(\sum_{k=n}^m f_k(x)(x_k - y_k)\right) < \frac{\epsilon}{\delta} \sup_{n \leq l \leq m} p_\alpha\left(\sum_{k=n}^l f_k(x)x_k\right) \text{ for all } n \in \mathbb{N} \text{ and for all } x \in \mathcal{A}.$$

Then, $\{y_n\}$ is a τ frame for \mathcal{A} with associated sequence of functionals $\{f_n\}$.

Proof. Define $\Theta : \mathcal{A} \rightarrow \mathcal{A}$ by

$$\Theta(x) = \tau\text{-}\lim_{n \rightarrow \infty} \sum_{k=1}^n f_k(x)(x_k - y_k) \text{ for all } x \in \mathcal{A}.$$

Then, Θ is a well-defined, bounded linear operator on \mathcal{A} . For all $x \in \mathcal{A}$, we have

$$\begin{aligned} p_\alpha(\Theta(x)) &= p_\alpha\left(\tau\text{-}\lim_{n \rightarrow \infty} \sum_{k=1}^n f_k(x)(x_k - y_k)\right) \\ &< \tau\text{-}\lim_{n \rightarrow \infty} \frac{\epsilon}{\delta} \sup_{1 \leq l \leq n} p_\alpha\left(\sum_{k=1}^l f_k(x)x_k\right) \\ &< \epsilon p_\alpha(x). \end{aligned}$$

Therefore, by Lemma 1.2, $\Xi = I - \Theta$ is a topological isomorphism from \mathcal{A} onto itself and hence, Ξ is a continuously invertible operator on \mathcal{A} . Also, for all $x \in \mathcal{A}$, we have

$$\Xi(x) = x - \Theta(x) = \tau\text{-}\lim_{n \rightarrow \infty} \sum_{k=1}^n f_k(x)y_k.$$

Hence, $\{y_n\}$ is a τ -frame for $\Xi(\mathcal{A}) = \mathcal{A}$ with associated sequence of functionals $\{f_n\}$. \square

The following theorem provides a sufficient condition for perturbation of τ -Schauder frames, where the perturbed sequence is obtained from a block associated with a given τ -Schauder frame.

Theorem 2.4. *Let $\{x_n\}$ be a τ -Schauder frame for (\mathcal{A}, τ) with an associated sequence of functionals $\{f_n\} \subset \mathcal{A}^*$. Suppose $\{y_n\} \subset \mathcal{A}$ is a sequence given by*

$$y_n = x_n + \tau\text{-}\sum_{i \geq n+1} f_i(y_n)x_i, \quad n \in \mathbb{N}.$$

For $p \in D_\tau$, assume that there exists $k_p \in \mathbb{N}$ and λ_p with $0 < \lambda_p < 1$ such that

$$(2.3) \quad p\left(\sum_{i=k_p}^m \alpha_i(y_i - x_i)\right) \leq \lambda_p p\left(\sum_{i=k_p}^m \alpha_i x_i\right)$$

for all finite sequences $\{\alpha_{k_p}, \dots, \alpha_m\}$ of scalars. Then, $\{y_n\}$ is a τ -Schauder frame for (\mathcal{A}, τ) .

Proof. Define $\Theta : \mathcal{A} \rightarrow \mathcal{A}$ by

$$\Theta x = \tau\text{-}\sum_{n=1}^{\infty} f_n(x)(y_n - x_n) \text{ for all } x \in \mathcal{A}.$$

Then, Θ is a well defined linear operator on \mathcal{A} . Given any $x \in \mathcal{A}$, we can write it $x = u + v$, where

$$u = \sum_{i=1}^{k_p-1} f_i(x)x_i, \text{ and } v = \tau\text{-}\lim_{m \rightarrow \infty} \sum_{i=k_p}^m f_i(x)x_i.$$

By definition of Θ , we have

$$\Theta x = \left(\sum_{i=1}^{k_p-1} f_i(x)(y_i - x_i) \right) + \left(\tau\text{-}\lim_{m \rightarrow \infty} \sum_{i=k_p}^m f_i(x)(y_i - x_i) \right) = \Theta u + \Theta v.$$

Since $\{x_n\}$ is a τ -Schauder frame, we have $|f_i(x)| \leq p_\alpha(x)$ for all $x \in \mathcal{A}$ ($1 \leq i \leq k_p-1$) for some $p_\alpha \in D_\tau$.

For any $p \in D_\tau$, we have

$$\begin{aligned} p(\Theta u) &= p\left(\sum_{i=1}^{k_p-1} f_i(x)(y_i - x_i)\right) \\ &= \sum_{i=1}^{k_p-1} |f_i(x)|p(y_i - x_i) \\ &\leq \sum_{i=1}^{k_p-1} p_\alpha(x)p(y_i - x_i) \\ &= p_\alpha(x)c_p, \text{ where } c_p = \sum_{i=1}^{k_p-1} p(y_i - x_i) < \infty. \end{aligned}$$

By using (2.3), for any $p \in D_\tau$ we compute

$$p(\Theta v) = p\left(\tau\text{-}\lim_{m \rightarrow \infty} \sum_{i=k_p}^m f_i(x)(y_i - x_i)\right)$$

$$\begin{aligned}
&\leq \lambda_p p \left(\tau\text{-}\lim_{m \rightarrow \infty} \sum_{i=k_p}^m f_i(x)x_i \right) \\
&= \lambda_p p(v) \\
&= \lambda_p p(x - u) \\
&\leq \lambda_p p(x) + \lambda_p p(-u) \\
&= \lambda_p p(x) + \lambda_p p(u) \\
&= \lambda_p p(x) + \lambda_p p \left(\sum_{i=1}^{k_p-1} f_i(x)x_i \right) \\
&= \lambda_p p(x) + \lambda_p \sum_{i=1}^{k_p-1} |f_i(x)| p(x_i) \\
&\leq \lambda_p p(x) + \lambda_p p_\alpha(x) \sum_{i=1}^{k_p-1} p(x_i) \\
&= \lambda_p p(x) + \lambda_p p_\alpha(x) d_p, \text{ where } d_p = \sum_{i=1}^{k_p-1} p(x_i) < \infty.
\end{aligned}$$

Choose $p_\beta = \max\{p_\alpha, p\}$. Then, $p(\Theta x) \leq (\lambda_p d_p + \lambda_p + c_p) p_\beta(x)$ for all $x \in \mathcal{A}$. Thus, Θ is a continuous linear map. Therefore, by Lemma 1.2, $\Xi = I - \Theta$ is a topological isomorphism from (\mathcal{A}, τ) onto itself. Hence $\{y_n\}$ is a τ -Schauder frame for $\Xi(\mathcal{A}) = \mathcal{A}$.

□

Now we give a necessary condition for perturbation of τ -frames in terms of an eigenvalue of a matrix associated with the perturbed sequence. This is inspired by [16].

Theorem 2.5. *Let $\{x_n\}$ be a τ -frame for (\mathcal{A}, τ) and $\{y_k\}_{k=1}^m \subset \mathcal{A}$ ($m \in \mathbb{N}$ is fixed) be linearly independent vectors. Suppose, there exist continuous linear functionals $\{g_k\}_{k=1}^m \subset \mathcal{A}^*$ such that $g_k(x_n) = \alpha_k^{(n)}$ for all $n \in \mathbb{N}$ ($1 \leq k \leq m$). If*

$\{x_n + \sum_{k=1}^m \alpha_k^{(n)} y_k\}$ is a τ -frame for \mathcal{A} , then -1 is not an eigenvalue of the matrix

$$\begin{bmatrix} g_1(y_1) & g_2(y_1) & \cdots & g_m(y_1) \\ g_1(y_2) & g_2(y_2) & \cdots & g_m(y_2) \\ \cdots & \cdots & \cdots & \cdots \\ g_1(y_m) & g_2(y_m) & \cdots & g_m(y_m) \end{bmatrix}.$$

Proof. It is sufficient to prove the result for $m = 2$.

Let, if possible, -1 is an eigenvalue of the matrix

$$\begin{bmatrix} g_1(y_1) & g_2(y_1) \\ g_1(y_2) & g_2(y_2) \end{bmatrix}$$

Then

$$\begin{vmatrix} g_1(y_1) + 1 & g_2(y_1) \\ g_1(y_2) & g_2(y_2) + 1 \end{vmatrix} = 0.$$

Therefore, there exists scalars α and β not both zero such that

$$\alpha g_1(y_1) + \beta g_2(y_1) = -\alpha \quad \text{and} \quad \alpha g_1(y_2) + \beta g_2(y_2) = -\beta.$$

Choose $f_0 = -\alpha g_1 - \beta g_2$. Then, f_0 is a non-zero functional in \mathcal{A}^* . Indeed, if $f_0 = 0$, then $f_0(y_1) = 0$ and $f_0(y_2) = 0$. This gives $\alpha = 0$ and $\beta = 0$, which is a contradiction.

Hence f_0 must be non-zero.

We compute

$$\begin{aligned} & f_0\left(x_n + \sum_{k=1}^2 \alpha_k^{(n)} y_k\right) \\ &= f_0\left(x_n + \alpha_1^{(n)} y_1 + \alpha_2^{(n)} y_2\right) \\ &= f_0(x_n) + \alpha_1^{(n)} f_0(y_1) + \alpha_2^{(n)} f_0(y_2) \\ &= (-\alpha g_1 - \beta g_2)(x_n) + \alpha_1^{(n)}(-\alpha g_1 - \beta g_2)(y_1) + \alpha_2^{(n)}(-\alpha g_1 - \beta g_2)(y_2) \\ &= -\alpha g_1(x_n) - \beta g_2(x_n) + \alpha_1^{(n)}(-\alpha g_1(y_1) - \beta g_2(y_1)) + \alpha_2^{(n)}(-\alpha g_1(y_2) - \beta g_2(y_2)) \end{aligned}$$

$$\begin{aligned}
&= -\alpha\alpha_1^{(n)} - \beta\alpha_2^{(n)} + \alpha_1^{(n)}\alpha + \alpha_2^{(n)}\beta \\
&= 0.
\end{aligned}$$

This gives

$$(2.4) \quad f_0\left(x_n + \sum_{k=1}^2 \alpha_k^{(n)} y_k\right) = 0 \text{ for all } n \in \mathbb{N}.$$

Since, $\{x_n + \sum_{k=1}^m \alpha_k^{(n)} y_k\}$ is a τ -frame for \mathcal{A} , the sequence $\{x_n + \sum_{k=1}^2 \alpha_k^{(n)} y_k\}$ is τ -dense in \mathcal{A} . By (2.4), the continuous map f_0 is zero on a τ -dense subset of \mathcal{A} . Thus, the zero map and f_0 are continuous maps which agree on a τ -dense subset of \mathcal{A} . Therefore, by Lemma 1.1, $f_0 \equiv 0$, a contradiction. This completes the proof. \square

To conclude the paper, we give a sufficient condition for the perturbation of τ -frames to not be a τ -frame for \mathcal{A} .

Proposition 2.1. *Let $\{x_n\}$ be a τ -frame for (\mathcal{A}, τ) . If there exists a continuous linear functional $f_0 \in \mathcal{A}^*$ such that $f_0(x_n) = \lambda$ for all $n \in \mathbb{N}$, where λ is a non-zero scalar in \mathbb{K} , then there exists $z_{f_0} \in \mathcal{A}$ such that $\{x_n + z_{f_0}\}$ is not a τ -frame for \mathcal{A} .*

Proof. Suppose that $\{x_n + z_{f_0}\}$ is a τ -frame for \mathcal{A} . Let $z \in \mathcal{A}$ be such that $f_0(z) = \alpha$, where α is a non-zero scalar in \mathbb{K} . Choose $z_{f_0} = \frac{-\lambda}{\alpha}z$. Then, $f_0(x_n + z_{f_0}) = 0$ for all $n \in \mathbb{N}$. Since, $\{x_n + z_{f_0}\}$ is a τ -frame for \mathcal{A} , so $\{x_n + z_{f_0}\}$ is τ -dense in \mathcal{A} . By Lemma 1.1, $f_0 \equiv 0$, a contradiction. The result is proved. \square

Acknowledgement

The authors are very grateful to the referee for the careful reading of the paper and valuable suggestions and comments which helped us to improve this paper. We are thankful to Prof. T. B. Singh for sharing his sound knowledge in the field of topology during the preparation of the first draft of this paper. We also thank Prof. S. K.

Kaushik for many insightful discussions which definitely improved the final draft of the paper.

REFERENCES

- [1] J. Bonet, Carmen Fernández, A. Galbis and J. M. Ribera, Shrinking and boundedly complete Schauder frames in Fréchet spaces, *J. Math. Anal. Appl.*, **410** (2014), 953–966.
- [2] P. G. Casazza and G. Kutyniok, *Finite frames: Theory and Applications*, Birkhäuser, 2012.
- [3] P. G. Casazza and R. G. Lynch, A brief introduction to Hilbert space frame theory and its applications, Proceedings of Symposia in Applied Mathematics, Vol. **73**, 2016.
- [4] P. G. Casazza, O. Christensen, The reconstruction property in Banach spaces and a perturbation theorem, *Canad. Math. Bull.*, **51** (2008), 348–358.
- [5] P. G. Casazza and O. Christensen, Perturbation of operators and applications to frame theory, *J. Fourier Anal. Appl.*, **3** (1997), 543–557.
- [6] O. Christensen, *Frames and Bases: An Introductory Course*, Birkhäuser, 2008.
- [7] O. Christensen, *Introduction to frames and Riesz bases*, Birkhäuser, 2003.
- [8] O. Christensen, *Introduction to frames and Riesz bases*, Second Edition, Birkhäuser, 2016.
- [9] O. Christensen and C. Heil, Perturbations of Banach frames and atomic decompositions, *Math. Nach.*, **185** (1997), 33–47.
- [10] I. Daubechies, A. Grossmann and Y. Meyer, Painless non-orthogonal expansions, *J. Math. Phys.*, **27** (1986), 1271–1283.
- [11] R. J. Duffin and A. C. Schaeffer, A class of nonharmonic Fourier series, *Trans. Amer. Math. Soc.*, **72** (1952), 341–366.
- [12] S. J. Favier and R. A. Zalik, On the stability of frames and Riesz bases, *Appl. Comput. Harmon. Anal.*, **2** (1995), 160–173.
- [13] S. Garg and L. K. Vashisht, On exact frames in topological algebras, *Palest. J. Math.*, **5** (2016), 131–134.
- [14] I. Gelfand, Normierte Ringe, *Rec. Math.*, (N.S.), **9** (1941), 3–24
- [15] P. K. Jain, S. K. Kaushik and L. K. Vashisht, On stability of Banach frames, *Bull. Korean Math. Soc.*, **44** (2007), 73–81.
- [16] P. K. Jain, S. K. Kaushik and L. K. Vashisht, On perturbation of Banach frames, *Int. J. Wavelets Multi. Inf. Process.*, **4** (2006), 559–565.

- [17] P. K. Kamthan and M. Gupta, *Schauder Bases: Behaviour and Stability*, Longman Scientific & Technical, New York, 1988.
- [18] S. K. Kaushik, L. K. Vashisht and G. Khattar, Reconstruction property and frames in Banach spaces, *Palest. J. Math.*, **3** (2014), 11–26.
- [19] G. Khattar and L. K. Vashisht, Some types of convergence related to the reconstruction property in Banach spaces, *Banach J. Math. Anal.*, **9** (2015), 253–275
- [20] C. W. McArthur, A convergence criterion with applications to series in locally convex spaces, *Duke Math. J.*, **34** (1967), 193–199.
- [21] S. Pilipovic, D. Stoeva, Series expansions in Fréchet spaces and their duals: Construction of Frechet frames, *J. Approx. Theory*, **163** (2011), 1729–1747.
- [22] A. P. Robertson and W. Robertson, *Topological Vector Spaces*, Cambridge University Press, 1973.
- [23] T. B. Singh, *Elements of Topology*, CRC Press, 2013.
- [24] L. K. Vashisht and S. Garg, Frames in topological algebras, submitted.
- [25] J. H. Webb, Sequential convergence in locally convex spaces, *Proc. Camb. Phil. Soc.*, **64** (1968), 341–364.

(1, 2) DEPARTMENT OF MATHEMATICS, UNIVERSITY OF DELHI, DELHI-110007, INDIA.

E-mail address: lalitkvashisht@gmail.com , saakshi.garg@yahoo.com

(3) CENTRE FOR INDUSTRIAL AND APPLIED MATHEMATICS, SCHOOL OF INFORMATION TECHNOLOGY AND MATHEMATICAL SCIENCES, UNIVERSITY OF SOUTH AUSTRALIA, AUSTRALIA.

E-mail address: Geetika.Verma@unisa.edu.au